



06-29-06

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FORM 8-3 Express Mail Certificate

Navy Case No. 95801

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: M. Joseph Roberts, et.al.

Application No.: 10/699,440 Group No.: 2818

Filed: 10/29/2003 Examiner: Dung Anh Le

For: SURFACE IMPRINTED FILMS WITH CARBON NANOTUBES

EXPRESS MAIL CERTIFICATE

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Date of Deposit: June 21, 2006

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David Kalmbaugh  
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JUN 26 2006

Effective 12/08/04.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**U.S. PATENT & TRADEMARK OFFICE  
FEE TRANSMITTAL  
FOR FY 2005**

 Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** \$130.00

Complete if known	
Application Number	10/699,440
Filing Date	10/29/2003
First Named Inventor	ROBERTS et.al.
Examiner Name	
Art Unit	
Attorney Docket No.	95801

**METHOD OF PAYMENT (check all that apply)**

Check  Credit card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 50-0931 Deposit Account Name: NAWCWD - Pt Mugu/ China Lake

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or any underpayment of fee(s) under CFR 1.16 and 1.17  Credit any overpayments

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**Fee DescriptionEach claim over 20 (including Reissues) Fee (\$) 50 Small Entity Fee (\$) 25Each independent claim over 3 (including Reissues) Fee (\$) 200 Small Entity Fee (\$) 100Multiple dependent claims Fee (\$) 360 Small Entity Fee (\$) 180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
-20 or HP =	X	=	

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
-3 or HP =	X	=	

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent ClaimsFee (\$) \_\_\_\_\_ Fee Paid (\$) \_\_\_\_\_**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 USC 411(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
-100 =	/ 50 =	(round up to a whole number) X	=	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Late Filing Surcharge (Declaration)

\$130.00

**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	David S. Kalmbaugh	Registration No. (Attorney / Agent)	29,234	Telephone	(805) 989-8266
Signature	D. S. Kalmbaugh		Date	10 Apr 2006	

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This collection of information is required by 37 CFR 1.53(b). The information is required or obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

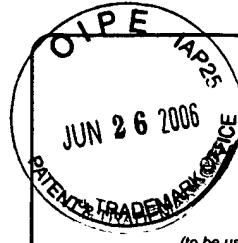
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PTO/SB/21 (08-003)

Approved for use through 07/31/2006. OMB 0651-0031  
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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

		Application Number	10/699,440
		Filing Date	10/29/2003
		First Named Inventor	ROBERTS, et. al.
		Art Unit	2818
		Examiner Name	Dung Anh Le
Total Number of Pages in This Submission	23	Attorney Docket Number	95801

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing - related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interference's
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Affidavits / declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(Please identify below)</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<b>Return Post Card</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks <b>RESPONSE TO USPTO OFFICE ACTION OF MAY 8, 2006.</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	David S. Kalmbaugh	29,234
Signature		
Date	June 26, 2006	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Services with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or Printed Name		
Signature		Date

This collection of information is required by 37 CFR 1.53(b). The information is required or obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Appl. No. 10/699,440  
Amdt. dated June 26, 2006  
Reply to Office Action of May 08, 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : M. Joseph Roberts et al. Conformation No. 3258  
Examiner : Dung Anh Le  
Art Unit : 2818  
Docket No. : 95801  
Serial No. : 10/699,440  
Filed : 10/29/2003  
Customer No.: 23572

For: SURFACE IMPRINTED FILMS WITH CARBON NANOTUBES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

**AMENDMENT UNDER 37 C.F.R. §1.121**

Sir:

In response to the Office Action of May 08, 2006, please amend the above-identified application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** begin on page 3 of this paper.

**Amendments to the Drawings** begins on page 13 of this paper.

**Remarks/Arguments** begins on page 14 of this paper.